# IAP6 Rec'd PCT/PTO 21 MAR 2007

PATENT: 930086-2028

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)

Yong Hwan KIM, Eun Suk AN, Keehon WON,

Jae Kwang SONG, Jeong Yong RYU, Bong Keun SONG

Serial No.

10/581,766

For

PROCESS FOR PREPARING PHENOLIC POLYMER BY USING

PHENOTHIAZINES MEDIATOR

Filed

:

Not Yet Assigned

Int'l Appln. No.

PCT/KR2004/001086

Int'l Filing Date

11 May 2004 (11.05.2004)

**Priority Filing Date** 

06 December 2003 (06.12.2003)

Examiner

Not Yet Assigned

Art Unit

Not Yet Assigned

745 Fifth Avenue New York, NY 10151

### **EXPRESS MAIL**

Mailing Label Number:

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Date of Deposit:

March 21, 2007

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Service under 37 CFR 1.10 on the date indicated above and is addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Ronald R. Santucci

(Typed or printed name of person mailing paper or fee)

(Signature of posson mailing paper or fee)

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

-1- 00438140

In response to the Notification of Missing Requirements mailed March 15, 2007 for the above referenced patent application, Applicants enclose the following:

- a.) a copy of the Notification of Missing Requirements;
- b.) a copy of the Notification of the Recording of a Change issued by the International Bureau of WIPO for International Application PCT/KR2004/001086.

During the international phase of the above referenced patent application, an accelerated request was made on May 30, 2006 to the International Bureau of WIPO to remove Yeon Soo LEE as one of the inventors of international application PCT/KR2004/001086. On June 1, 2006 the enclosed Notification of the Recording of a Change was mailed by the International Bureau of WIPO removing Yeon Soo LEE as an inventor for international application .

PCT/KR2004/001086. At the time of filing of the US national phase of PCT/KR2004/001086, Applicants were not yet in receipt of this Notification of the Recording of a Change and therefore it was not included in the original filing. Applicants assume that, with this Notification of the Recording of a Change removing Yeon Soo LEE as an inventor, the original filed oath for the US national phase application is acceptable and no further documentation is required.

Applicants assume that, since a fully executed and proper oath was provided for this application with the original filing, there are no missing requirements for this application and therefore no fee is necessary. The Commissioner, however, is authorized to charge any fee that may be required to Deposit Account No. 50-0320.

Applicants also assume that the 371(e) filing date for this application will be the date of filing of the original executed oath, that is June 6, 2006, since the oath submitted with the application papers on June 6, 2006 was proper in view of the removal of Yeon Soo LEE as an inventor by the International Bureau of WIPO prior to filing.

-2- 00438140

PATENT 930086-2028

Respectfully submitted, FROMMER LAWRENCE & HAUG LLP

.By:

Ronald R. Santucci Reg. No. 28,988 (212) 588-0800



#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

10/581,766

Yong Hwan Kim

930086-2028 INTERNATIONAL APPLICATION NO.

PCT/KR04/01086

I.A. FILING DATE

PRIORITY DATE

05/11/2004

12/06/2003

Ronald R. Santucci c/o Frommer Lawrence & Haug 745 Fifth Avenue New York, NY 10151

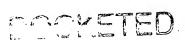
**CONFIRMATION NO. 8494 371 FORMALITIES LETTER** OC000000022871400\*

Date Mailed: 03/15/2007

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 06/06/2006
- Copy of the International Search Report filed on 06/06/2006
- Copy of IPE Report filed on 06/06/2006
- Copy of Annexes to the IPER filed on 06/06/2006
- Preliminary Amendments filed on 06/06/2006
- Information Disclosure Statements filed on 06/06/2006
- Oath or Declaration filed on 06/06/2006
- U.S. Basic National Fees filed on 06/06/2006
- Assignment filed on 06/06/2006
- Priority Documents filed on 06/06/2006





The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - On the executed oath received June 6, 2006, inventor (Lee, Yeon Soo) is not listed on the signed oath, however, he is listed on the pushlished International application. Please submit a corrected oath or an explanation for the omitted inventor (Lee, Yeon Soo).
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath

or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$65 for a Small Entity:

• \$65 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at http://www.uspto.gov/ebc.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

VIRGINIA L IRBY

Telephone: (703) 308-9140 EXT 229

### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/581,766	PCT/KR04/01086	930086-2028

FORM PCT/DO/EO/905 (371 Formalities Notice)